

 Data Protection Declaration

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1. Preamble

- 1 This Data Protection Declaration covers the processing of personal data by Roth+Partner Rechtsanwälte AG ("We") within the context of client support as well as the website (www.rothpartner.li). In the case of websites of other providers to which reference is made, e.g. via links, the data protection information and explanations provided therein shall be applicable. The processing of personal data by us is performed exclusively in accordance with the EU General Data Protection Regulation ("GDPR") as well as the Liechtenstein Data Protection Act (Datenschutzgesetz – "DSG").

2. Name and address of the data controller and data protection officer

- 2 The data controller within the meaning of the General Data Protection Regulation (GDPR) is:

Roth+Partner Rechtsanwälte AG
Landstrasse 40
9495 Triesen
Liechtenstein

- 3 Our company data protection officer can be contacted using the above address, attn. Data Protection Officer, or at datenschutz@rothpartner.li - subject beginning with "[data protection officer].".

3. Data processing within the context of client support

3.1 Scope of the processing of personal data

- 4 Within the context of client support, we process the following personal data relating to you:
 - First name and last name
 - Residential address
 - Telephone number(s)
 - e-mail address(es)



- Further information that may be necessary for the support, depending on the nature of the mandate, such as e.g. social insurance number, health data, marital status, etc.

5 We process this personal data

- to provide you with proper legal advice and representation;
- to conduct correspondence with you;
- for invoicing purposes;
- to assert or defend against claims;
- to maintain and improve the security of our IT infrastructure;
- to exercise our obligations pursuant to execution the Due Diligence Act (Sorgfaltspflichtgesetz – “SPG”) and other statutory requirements.

6 This consequently means that the processing of your personal data is based primarily on Art. 6 Para. 1 Letter b GDPR (performance of a contract or of pre-contractual measures), as well as on Art. 6 Para. 1 Letters c and f GDPR (fulfilment of a legal obligation or safeguarding of legitimate interests).

7 We also reserve the right to continue processing personal data that was collected for one of the aforementioned purposes for other purposes, if this is compatible with the original purpose or is permitted or required by statutory provisions.

8 We collect the personal data primarily from you directly. Where required by the above purposes and with your consent, or where we have a legitimate interest, we may also collect your personal data from third parties who are authorised or required to disclose this, including from publicly available sources.

3.2 Transfer of personal data

9 We transfer your data to third parties, insofar as this is required by reasonable legal advice and representation (e.g. to the court and the counterparty or their representatives in order to assert claims or to conduct settlement discussions), insofar as we are legally required to transfer the data (e.g. to the supervisory authority or auditor) or insofar as this is required by our legitimate interests (e.g. to the court in order to assert fee claims or to IT service providers to service the IT infrastructure).



- 10 Transfer of data to countries outside the European Economic Area (so-called third-party states) shall be performed only within the context of adequacy decisions of the European Commission or if this is necessary to conduct pre-contractual measures or to fulfil a legal agreement, if you have issued us your express consent (e.g. within the context of special services), if this is necessary for important public interest reasons or is stipulated by law.

3.3 Storage period for personal data

- 11 Following the ending of the client relationship, your personal data will be stored by us for the duration of the general statutory storage obligation (currently 10 years). We reserve the right to retain the data for a longer period of time due to special tax, company or supervisory regulations as well as to assert and defend against claims.

3.4 Consequences of non-provision of personal data

- 12 The provision of your personal data is required in order to establish and maintain the client relationship. If you are not (or are no longer) willing to make the aforementioned personal data available to us, the client relationship will not be established or the client relationship will be terminated.

4. Data processing within the context of the website

4.1 Provision of the website

- 13 Each time our website www.rothpartner.li is accessed, our system automatically records data and information about the computer system of the accessing computer. The following data is gathered in this conjunction:
- complete IP address of the accessing computer
 - date and time of the accessing
 - name of the requested file
 - page from which the file was requested



- access status (file transfer, file not found etc.)
- utilised web browser and utilised operating system
- transferred data volume

- 14 It is not possible for us to make inferences about individual persons on the basis of this data. This information shall be stored for a period of up to one year. The storage is performed for reasons of data security – in particular to defend against attempted attacks on our web server – as well as to ensure the stability and the operating security of the system.
- 15 Pursuant to Art. 13 GDPR, the data processing is performed in conjunction with our website in accordance with Art. 6 Para. 1 Letter e GDPR – insofar as the legal principles in the present Data Protection Declaration do not stipulate otherwise.
- 16 Our processing of the personal data of users is limited to the data that is required to provide a properly functioning website as well as our content and to render our services. We process personal data only for the purposes agreed with you or if another legal basis (within the meaning of the GDPR) exists. We collect only the personal data that is required to execute and render our performances and corresponding services or that you have made available to us voluntarily.

4.2 Cookies

- 17 We use cookies on our website in order to make our services more user-friendly. Cookies are small computer files that your browser automatically creates and are saved on your device (laptop, tablet, smartphone etc.) when you visit our website. The cookies remain saved until you delete them. This enables us to identify your browser the next time you visit.
- 18 If you do not want this to happen, you may use browser settings to inform you about the use of cookies, enabling you to permit this on a case-by-case basis. We draw your attention to the fact, however, that deactivating cookies may restrict the functions of the website.
- 19 The legal basis for the use of data processed by cookies is Art. 6 Para. 1 p. 1 lit. f GDPR.



4.3 Typekit web fonts

20 We use external fonts from Typekit on our website. Typekit is a service that is provided by the company Adobe. These web fonts are used by accessing a server at Adobe (in the USA). According to our information, at least the IP address of the browser of the terminal device of the visitor to our website is stored by Adobe. Further information is available in the data protection notices of Typekit, which you may retrieve here:

<https://www.adobe.com/de/privacy/policies/typekit.html>

4.4 File downloads

21 We do not demand any personal data from you in order to enable you to download files from our website.

4.5 Social plugins (LinkedIn, Xing, etc.)

22 You may share content on your own LinkedIn and XING profiles through our website by accessing links from these social media. You will then leave our website and will be redirected directly to the websites and functions of the relevant providers. See what information these collect about you by reading the data protection declarations of the respective providers.

23 However, our website does not use social plugins from LinkedIn and XING, that is to say no information is passed on to these or other social media providers when you visit our website.

4.6 Hosting and e-mail delivery

24 The hosting services used by us serve to make the following services available: Infrastructure and platform services, computing capacity, storage space and database services, e-mail delivery, security and technical maintenance services that we use to operate this website. We or our hosting provider process inventory data, contact



data, content data, contract data, usage data, meta data and communication data of clients, interested parties and visitors to this website on the basis of our legitimate interest in the efficient and secure provision of this website pursuant to Art. 6 Para. 1 lit. f GDPR in conjunction with Art. 28 GDPR (conclusion of order processing agreement).

5. Joint provisions

5.1 Your rights

25 You have the right to demand information from us about the personal data we process relating to you. In particular, you may demand information about the purposes of the processing, the categories of the personal data that is being processed, the categories of the recipients to whom your data has been or will be disclosed, the planned storage period, the existence of a right to rectification, erasure, limited processing or objection, data portability – insofar as the data transmission does not cause a disproportionate workload – the origin of your data, insofar as this was not collected by us, as well as the existence of an automated decision-making procedure including profiling.

26 You also have the right to revoke any possible consent to use your personal data at any time.

27 If you are of the view that the processing of your personal data by us breaches the applicable data protection provisions, you have the opportunity to complain to the Data Protection Officer.

5.2 Data security

28 We deploy the widely-used SSL process within the context of website visits (Secure Socket Layer) in conjunction with the respective highest encryption level that is supported by your browser. You can identify whether an individual section of our website is being transmitted in encrypted format by the key or closed padlock symbol in the lower address bar of your browser.



- 29 We also use appropriate technical and organisational security measures to protect your data from accidental or wilful manipulation, partial or complete loss, destruction or from being accessed by unauthorised third parties. Our security measures are improved on an ongoing basis in accordance with technological developments.

5.3 Valid version

- 30 This Data Protection Declaration is currently valid and its version is November 2018.
- 31 Due to the continued development of our website and associated services or organisational changes within the law firm, or on the grounds of amended statutory or official provisions, it may be necessary to amend this Data Protection Declaration. You can access and print out the respective current Data Protection Declaration from the website at any time.